

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Hideharu TAKESHIMA, et al.

SERIAL NO: New Application

GAU:

FILED: Herewith

EXAMINER:

FOR: OPTICAL RECORDING MEDIUM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, and were cited and/or made of record in the parent application U.S. Serial No. 09/842,139, filed on April 26, 2001.

A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.

A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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Norman F. Oblon

Registration No. 24,618



22850

Docket No. 239480US0DIV

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MAIER & NEUSTADT, P.C.

  
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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 239480US0DIV		SERIAL NO. New Application	
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Hideharu TAKESHIMA, et al.					
		FILING DATE Herewith			GROUP		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,573,831	11/12/96	SUZUKI, ET AL.			
	AB	5,549,952	08/27/96	ARAI, ET AL.			
	AC	5,920,329	07/06/99	ARAI, ET AL.			
	AD	6,084,603	07/04/00	ARAI, ET AL.			
	AE	5,470,691	11/28/95	ARAI, ET AL.			
	AF	5,616,450	04/01/97	ARAI, ET AL.			
	AG	5,705,247	01/06/98	ARAI, ET AL.			
	AH	5,972,457	10/26/99	MATSUISHI, ET AL.			
	AI	5,970,027	10/19/99	NARITA, ET AL.			
	AJ	4,920,006	04/90	GILLERY			
	AK	5,013,603	05/91	OGAWA, ET AL.			
	AL	5,138,604	08/92	UMEDA, ET AL.			
	AM	5,422,226	06/95	AIHARA, ET AL.			
	AN	5,470,691	11/95	ARAI, ET AL.			
	AO	5,972,457	10/99	MATSUISHI, ET AL.			
	AP	5,492,744	02/96	KOIKE, ET AL.			
	AQ	6,001,444	12/99	KOIDE, ET AL.			
	AR	6,319,581	11/01	TAMURA			
	AS	6,338,889	01/02	SHIBATA, ET AL.			
	AT	6,437,017	08/02	KOMAKI			
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                  NO		
	AO						
	AP						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							